

22154 U.S. PTO
10/30/03



UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	99-LM-168C1
	First Inventor	Sonya Gary, et al.
	Title	Shared Peripheral Architecture
	Express Mail Label No.	EV322528910US

APPLICATION ELEMENTS	Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450
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1. ☒ Fee Transmittal Form
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27

3. ☒ Specification [total pages 24]
(preferred Arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) [total sheets 4]

5. ☒ Oath or Declaration [total sheets 4]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from prior appl. (37 C.F.R. § 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. (See 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS
9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))
10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document
12. <input checked="" type="checkbox"/> IDS & Form 1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
15. <input type="checkbox"/> Certified Copy of Priority Document(s)
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input type="checkbox"/> Other:

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/660,577

Prior application information: Examiner: Trish U. Vu Group/Art Unit: 2189

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 30429				or <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
Name (Print/Type)		Kent A. Lembke		Registration No. 44,866	
(Signature)				Date 10/30/03	

FEE TRANSMITTAL for FY 2004

TOTAL AMOUNT OF PAYMENT (\$) **(\$1076.00)**

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Sonya Gary, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	99-LM-168C1

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17
☐ Applicant claims small entity status.
 See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770 2001 385		Utility Filing Fee	770.00
1006 340 2006 170		Design filing fee	
1007 530 2007 265		Plant filing fee	
1008 770 2008 385		Reissue filing fee	
1005 160 2005 80		Provisional filing fee	

SUBTOTAL (1) (\$770.00)

2. EXTRA CLAIM FEES

Total Claims	30	-20**=	10	X	18	=	180.00
Independent Claims	4	-3**=	1	X	86	=	86.00
Multiple Dependent						=	0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18 2202 9		Claims in excess of 20
1201 86 2201 43		Independent claims in excess of 3
1203 290 2203 145		Multiple dependent claim, if not paid
1204 84 2204 42		**Reissue independent claims over original patent
1205 18 2205 9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$266.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 420 216 210		Extension for reply within second month	
117 950 217 475		Extension for reply within third month	
118 1,480 218 740		Extension for reply within fourth month	
128 2,010 228 1,005		Extension for reply within fifth month	
119 330 219 165		Notice of Appeal	
120 330 220 165		Filing a brief in support of an appeal	
121 290 221 145		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,330 241 665		Petition to revive - unintentional	
142 1,300 242 650		Utility issue fee (or reissue)	
143 480 243 240		Design issue fee	
144 640 244 320		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 770 246 385		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 770 249 385		For each additional invention to be examined (37 CFR § 1.129(b))	
179 770 279 385		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

SUBMITTED BY Complete (if applicable)

Name **Kent A. Lembke**

(Print/Type)
Signature

Kent A. Lembke

Registration No.
(Attorney/Agent)

44,866

Telephone

(720) 406-5335

Date

10/30/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sonya Gary, et al.

Serial No. _____

Filed: Herewith

For: SHARED PERIPHERAL ARCHITECTURE

Group Art Unit:

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP PATENT APPLICATION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Commissioner:


The undersigned hereby certifies that the following documents:


- Utility Patent Application Transmittal;
- Utility Patent Application [Specification (15 pages); Claims (8 pages); Abstract (1 page); Drawings (4 sheets)];
- Declaration (executed);
- Assignment with Recordation Cover Sheet;
- Information Disclosure Statement with PTO/SB/08A Form
- Fee Transmittal with check in the amount of \$1076.00;
- Certificate of Mailing by Express Mail; and
- Return Receipt Postcard.

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV322528910US, with the United States Postal Service, addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, PO Box 1450, Alexandria VA, 22313-1450, October 30, 2003.

10/30/03
Date

10/30/03
Date


Mailer


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